



INSTRUCTIONS TO SUPPLIERS FOR INTERNATIONAL SHIPMENTS TO REGAL IN THE US

These Instructions to Suppliers for International Shipments to Regal (“Instructions”) set forth requirements to be met by Supplier for shipments destined for and/or transiting through the United States from foreign sources.

GENERAL

Regal’s sole designated U.S. Customs broker for each of the three categories (for mode and/or origin) are as provided in the table below (“Designated US Customs Brokers”):

Imports by Ocean and/or Air	Imports by Ground from Mexico	Imports by Ground from Canada
MIQ Logistics LLC (“MIQ Logistics”)	UPS Supply Chain Solutions, Inc. (“UPS SCS”)	Russell A. Farrow Limited (R.A. Farrow”)

However, Regal may, in its sole discretion and at any time, change any of its Designated US Customs Brokers, and/or may designate another U.S. customs broker on an individual shipment-by-shipment basis, and may advise Seller of such a change or exception upon the occurrence of such a change.

To facilitate shipments from Supplier to Regal, and to avoid shipping delays, for each shipment, Supplier shall provide the following information and documents to the applicable Regal Designated U.S. Customs Broker (see table above), depending upon whether the shipment is being imported by (a) ocean and/or air, (b) ground from Mexico or (c) ground from Canada, at time of pickup of the shipment,:

- 1) **For imports by ocean ONLY**, data for the Importer Security Filing (ISF) using the form provided by MIQ Logistics (regardless of whether or not the freight forwarder for the shipment is MIQ Logistics)
- 2) Commercial invoice and packing list
- 3) Certificate of origin

Supplier also shall provide the following information and documents to the freight forwarder (if/when the freight forwarder for the shipment is not the Designated US Customs Broker):

- 1) Commercial invoice and packing list
- 2) Certificate of origin

For all shipments destined for the U.S., Supplier shall provide all information and documents listed above to the applicable Designated U.S. Customs Broker and the freight forwarder (to the freight forwarder when the freight forwarder for the shipment is not the Designated US Customs Broker) at time of pickup from Supplier’s dock. Supplier shall provide the information and documents by email to the applicable Designated U.S. Customs Broker, using the email contact information listed below. This requirement to supply information and documents to the Designated U.S. Customs Broker by email applies to all shipments, whether the Designated U.S. Customs Broker or another freight forwarder is arranging transportation.

MIQ Logistics	UPS SCS	R.A. Farrow
<p data-bbox="185 286 536 434"> Regalbrokerage@miq.com MIQ Logistics 8550 W. Bryn Mawr Ave. Suite 400 Chicago, IL 60631 </p> <p data-bbox="185 465 480 555"> Katrina Barone Katrina.Barone@miq.com 773-824-2323 </p> <p data-bbox="185 618 453 707"> Tina Jansen Tina.Jansen@miq.com 773-824-2264 </p> <p data-bbox="185 739 411 828"> Helen Xu helen.xu@miq.com 773-824-2208 </p>	<p data-bbox="593 286 903 398"> regalbeloit@ups.com Josie James 915-298-3378 Josephinejames@ups.com </p>	<p data-bbox="1040 286 1347 412"> US Imports David Somers David.Somers@farrow.com 734 955 7799 </p>

Supplier may address questions to Regal's Global Trade Compliance Group via email address to:

Global.Trade@RegalBeloit.com

or

Joe Solis
International Coordinator
Joe.solis@regalbeloit.com
915-860-5731

1) IMPORTER SECURITY FILING (ISF):

US Customs and Border Protection (CBP) implemented the requirements for advance notification of import data, called Importer Security Filing or 10+2 on January 26, 2009 (the "ISF Regulations"). The ISF Regulations apply to all shipments arriving in the U.S. by marine vessel, including shipments transiting through the US under customs bond. The ISF Regulations require that importers (meaning Regal or its designated U.S. customs broker) file specific data elements with CBP no later than twenty-four (24) hours before the container is loaded on the ship. MIQ Logistics LLC ("MIQ Logistics") is Regal's designated U.S. customs broker. Consequently, Supplier shall provide the commercial invoice and packing list, including all data elements required by the ISF Regulations, to Regal's Designated U.S. Customs Broker, MIQ Logistics, no later than forty-eight (48) hours prior to loading of the shipment on board the ship. Supplier shall provide (email is preferred) the required information to MIQ Logistics using the contact information provided in the "General" section at the front of these Instructions.

2) COMMERCIAL DOCUMENTATION REQUIREMENTS:

In addition to the data elements required by the ISF Regulations, Regal requires certain data elements for ALL international shipments. Provided below is a list of the data elements required and to be provided by Supplier (on the commercial documents for a shipment) to the applicable Designated U.S. Customs Broker using the contact information provided in the General section at the front of these Instructions.

Supplier shall provide the commercial invoice and packing list to the freight forwarder handling the shipment as instructed by Regal's Global Logistics Department at time of pickup from Supplier's dock. Supplier shall provide these documents to the applicable Designated U.S. Customs Broker using the contact information provided in the General section at the front of these Instructions.

Commercial Invoice Requirements

- Seller
- Freight forwarder
Name, contact information
- Buyer
Name, Street Address, City, State, Zip, importer of record number
- Ultimate Consignee
Name, Street Address, City, State, Zip, importer of record number
- Ship to party
Name, Street Address, City, State, Zip, importer of record number
- Notify party:
 - MIQ Logistics: regalbrokerage@MIQ.com
- Shipping terms (Incoterms®) (named place)
- Regal purchase order number
- Regal part number*
- **Detailed** description of the goods*
- Quantities and unit of measure*
- Purchase price of each item (or value if not sold)*
- Manufacturer (or supplier)*
- Country of Origin* (**please see explanation below**)
- Commodity HTSUS number* (**please see explanation below**)
- Currency
- Charges (freight, insurance, commissions, packing costs)
- Rebates, drawbacks or bounties on export
- Assists (tools, dies, molds, engineering, etc.)

* Indicates that this is required for each line item on the commercial document. See example commercial invoice and packing list on next two pages.

SAMPLE COMMERCIAL INVOICE

Seller



雷勃电气(常州)有限公司
 REGAL BELOIT (CHANG ZHOU) CO., LTD.
 地址.: 江苏省常州市新北区春江镇新华村新恒路1号, 213127
 ADD.: No.1 Xin Heng Road, Xinhua Village, Chunjiang Town, Xin Bei District,
 Changzhou, Jiangsu Province, China 213127
 电话Tel: (86) 519-85359090 传真Fax: (86) 519-85718363 邮编(P.C.): 213127

Ship to Party

Buyer

Shipping Terms (Incoterms®)

Ship-to address:
 Regal Beloit America, Inc.
 1325 Heil Quaker Blvd. LaVergne, Tennessee 37086, USA
Consignee 39-0449780LV
Sell-to address:
 Regal Beloit America, Inc.
 531 North Fourth STipp City, Ohio 45371, USA
 IOR 39-0449780LV
Requirments
Terms of payment T/T in advance 30 days
Terms of delivery FCA Shanghai

Ultimate Consignee:
 Regal Beloit America, Inc.
 1325 Heil Quaker Blvd,
 LaVergne TN 37086 USA

Invoice

PO Number

Inv No: EPC1708062- 5
 Date: 29/Aug/17
 P/O No: 450346095
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Currency

Item	Mark	Description	Quantity (PCS)	Unit Price (RMB)	Total Price (RMB)
1	N/M	MOTOR, AC, 1.119 kW, PH 1	1380		
	Container No.: APZU4396331	TYPE: C48L2N134B1			
	Seal No.: K1113112	HTSUS: 8501.40.6040			
		"Goods of China Origin"			
Total(PCS)			1,680	Total(RMB)	

HTSUS Number

Detailed Description

Part Number

Quantity, Unit Price, Total Price

Country of Origin

Manufacturer Name

All goods manufactured by REGAL BELOIT (CHANG ZHOU) CO., LTD.

Stuffing location

Container Stuffing Location:
 REGAL BELOIT (CHANG ZHOU) CO., LTD.
Consolidator:
 REGAL BELOIT (CHANG ZHOU) CO., LTD.



Notify Party

BANK INFORMATION:
 Bank Name: JPMorgan Chase Bank(China) Company Limited Shanghai Branch
 Bank address: 41F, Park Place, No. 1601 West Nanjing Road JingAn District, Shanghai 200040, China
 Company name REGAL BELOIT (CHANG ZHOU) CO., LTD.
 Account Code:
 Address: No 1 Xinheng Road, Xinhua Village, Chunjiang Town, Xinbei District, Changzhou, Jiangsu, China.

NOTIFY PARTY:
 Regalbrokerage@miq.com
 MIQ Logistics
 8550 W. Bryn Mawr Ave. Suite 400
 Chicago, IL 60631

Katrina Barone - Katrina.Barone@miq.com - 773-824-2323
 Tina Jansen - Tina.Jansen@miq.com - 773-824-2264
 Helen Xu - helen.xu@miq.com - 773-824-2208

Packing list requirements

- Weight of each pallet or box
- Dimensions of each pallet or box
- Part numbers and quantities
- Country of Origin of each part
- Quantity of packing containers
- Type of packaging
- Container number (if known)

SAMPLE PACKING LIST

艾欧史密斯电气产品(常州)有限公司
Regal Beloit EPC, Inc.
 地址: 中国江苏常州新北区魏村
 ADD: Weicun town, New Northern District, Changzhou, Jiangsu, China
 电话Tel.: (86) 519-5359000 传真Fax: (86) 519-5718353 邮编(P.C.): 213127

Packing List

Ship-to address:

Regal Beloit EPC, Inc.
 1325 Heil Quaker Blvd. LaVergne, Tennessee 37086, USA
 Consignee 27-5340734LV

Inv No: EPC1109007- 1

Date: 9/Sep/11

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Sell-to address:

Regal Beloit EPC, Inc.
 531 North Fourth St. Tipp City, Ohio 45371, USA
 IOR 27-5340734LV

WEIGHT OF EACH PALLET
OR BOX

Item	Mark	Description	Qty. (pcs)	Package (Pallets)	Net Weight (KGS)	Gross Weight (KGS)	Dims (CM3)
PART NUMBER		MOTOR, AC, 373.0W, PH 1	1	1	1000	1050	4.1
		TYPE: FSE1056SF HTSUS: 8501.40.4040					
		MOTOR, AC, 248.7W, PH 1	1	1	1000	1050	1.4
		TYPE: 4KA34 HTSUS: 8501.40.4040					
		MOTOR, AC, 373.0W, PH 1	1	1	1000	1050	19.3
		TYPE: DL1056 HTSUS: 8501.40.4040					
		MOTOR, AC, 559.5W, PH 1	1	1	1000	1050	1.4
		TYPE: FD1076 HTSUS: 8501.40.4040					
COUNTRY OF ORIGIN		MOTOR, AC, 1.492 kW, PH 1	1	1	1000	1050	1.4
		TYPE: ST1202 HTSUS: 8501.40.6040					
CONTAINER NUMBER IF		Container Nc MBIU8205390					
SEAL NUMBER		Seal No.: A8392147					
		TOTAL	5	5	5000	5250	27.6

DIMENSIONS

Dims: 1.22×1.07×1.05M (LxWxH)

TYPE AND QUANTITY OF PACKAGING

Total packages : 5 Pallets

艾欧史密斯电气产品(常州)有限公司
 AOSMITH ELECTRICAL PRODUCTS(CHANGZHOU) LTD.
 余雷兵

All goods manufactured by Regal Beloit Electrical Products (Changzhou) Co., Ltd.

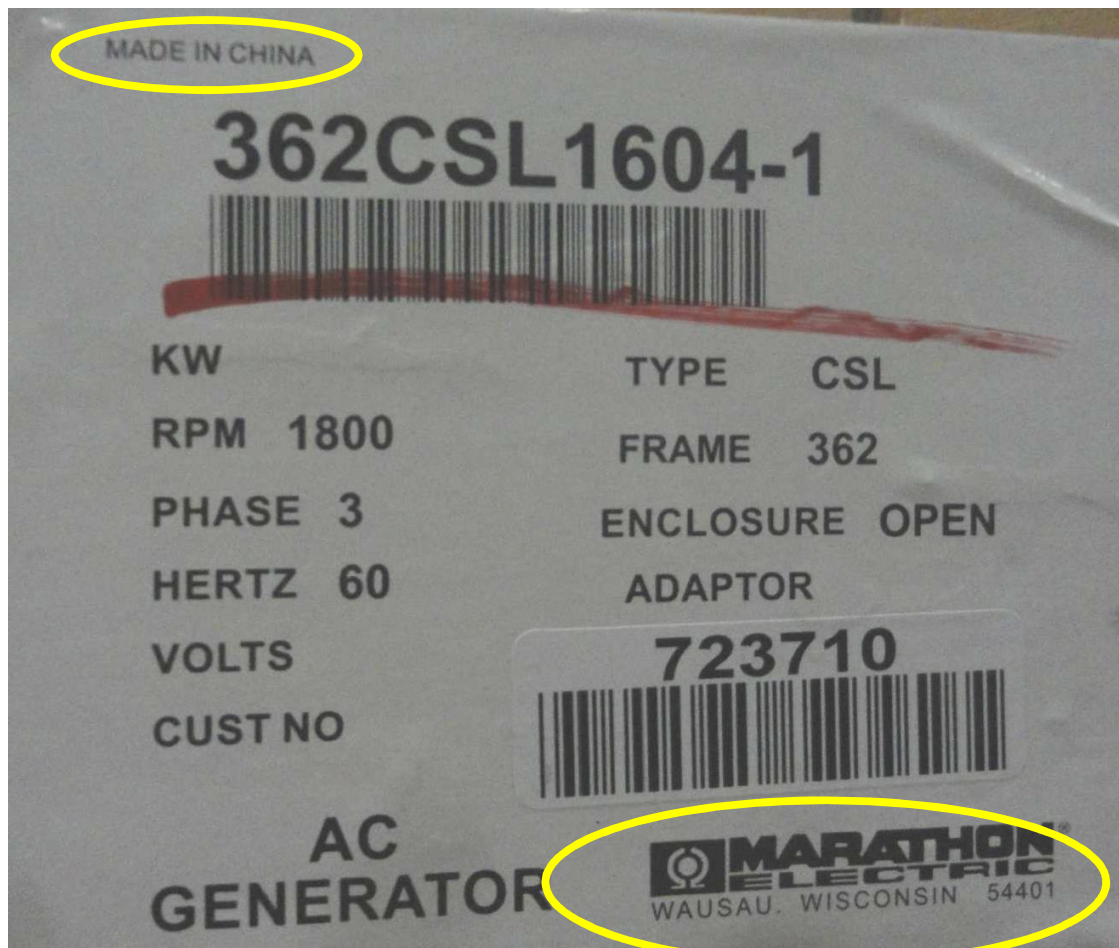
3) HARMONIZED TARIFF SCHEDULE CODE DETERMINATION:

The Harmonized Tariff Schedule of the United States (HTSUS) is the primary resource for determining tariff classifications for goods imported into the United States. The U.S. International Trade Commission is responsible for periodically updating it and makes it available in a variety of electronic formats. The HTSUS classifies a good based on its name, use, and/or the material used in its construction and assigns it a ten-digit number, which is the Harmonized Tariff Schedule Code ("HTS Code") of the good. There are over 17,000 unique ten-digit HTS Codes.

Supplier shall work with Regal (a) at the beginning of Supplier's relationship with Regal to determine the applicable HTS Code applicable to each good being shipped and (b) annually to review the HTS Code for each good to ensure that the HTS Code remains applicable and correct. Additionally, Supplier shall list the HTS Code for each good on the line item corresponding to the good in the commercial invoice.

4) COUNTRY OF ORIGIN MARKING REQUIREMENTS:

Federal regulations (19 CFR section 134.22 (c)) state that "[c]ontainers or holders of imported merchandise bearing the name and address of an importer, distributor, or other person or company in the United States shall be marked in close proximity to the U.S. address to indicate clearly the country of origin of the contents with a marking such as "Contents made in France" or "Contents Product of Spain," for example.



5) CERTIFICATE OF ORIGIN REQUIREMENTS:

Supplier shall provide a Certificate of Origin (COO) with any international shipment. A COO is a document used in international trade. It is a printed form, completed by the exporter and certified by an issuing body, attesting that the goods in a particular export shipment have been (a) wholly produced, manufactured or processed in a particular country or (b) substantially transformed in a particular country.

The "origin" does not refer to the country from which the good was shipped. It refers to the country where the good was made. In the event that the good was manufactured in two or more countries, the country of origin is deemed to be in the country where the last substantial working or processing is carried out. Another often-used practice is that, if more than 50% of the cost of producing a good is incurred in one country (the "national content" is more than 50%), then, that country may be deemed the country of origin. Rules for determining the origin can be complex. If the origin is not readily apparent, Supplier should consult with an expert international trade advisor to ensure that the proper origin determination is made.

Supplier shall clearly identify the country of origin on the good itself and in the commercial invoice. A reference to a region or area (such as European Union or Middle East) is not acceptable. The specific country must be provided.

A certificate of origin is required by Regal when preferential duty treatment may be obtained as a result of a free trade agreement between countries. For example, Supplier must provide a NAFTA COO for any good originating in Mexico or Canada, if NAFTA is applicable. If NAFTA does not apply, Supplier shall provide a letter or statement indicating such with the shipment.

A list of free trade agreements currently in effect is available on <http://www.ustr.gov/trade-agreements/free-trade-agreements>.